



A SIGNUP PROCESS



1 Complete the Azee Securities Account opening form
Attach valid CNIC copy
proof of income
proof of residence



2 Mail the completed forms and required documents to our corporate office or e-mail us: online@azeetrade.com



3 Receive acknowledgement email that informs you that your application is being processed



4 Receive the Welcome e-mail along with account setup Report upon successful compilation

(Mandatory for Transaction Authoriser - refers to USER ROLES description on section C)

B NATURE OF ACCOUNT

Account No.
For Office Use

CDC SubAccount No.
For Office Use

INSTRUCTIONS

Each and every column must be filled using **CAPITAL** (Block) letters. Each page of this form will be duly signed by the Account Holder(s) and the **AZEE**.

We advise all customers to thoroughly read the terms and conditions/agreement carefully and understand them. also please do not hesitate to contact us at **111 -293 -293** or e-mail us at info@azeetrade.com for clarification on these terms and conditions. Include required documents given at the end of this form. Make cheque payable to **AZEE Securities (Pvt.) Limited**. Call **111 -293 -293** for any questions.

Date of Application

Please Select an Account Single Account Joint Account
 Company Account Firm Account

Application Request

I/We, Mr./Mrs./Ms.: _____

request you to open an Equities Trading and Investment Account (Account) with the Equity Dealer/ Online account _____ with office/branch of **AZEE Trade**, a division of **AZEE Securities (Pvt.) Limited** For Purchase, sale and trading of Securities, in accordance with the Terms and Conditions of the Brokerage Account Agreement appended on the back. My/Our details are as under.



AZEE Securities (Pvt.) Ltd. 208, 2nd floor, Business & Finance Centre Main I. I. Chundrigar Road, Karachi -74000. UAN: 111-293-293, URL: www.azeetrade.com

C SHARES TRADING ACCOUNT OPENING FORM FOR INDIVIDUALS
(The information should be same as provided in the KYC Application Form)

For official use of the Participant only	
TRE Certificate Holder only	
Application Form No.	
TRE Certificate No.	
Broker Registration No.	
CDS Participant ID.	
Sub-Account No.	
Trading Account No. (Back-office ID) (if applicable)	
Investor Account No.	
Dealer Name	

(Please use BLOCK LETTERS to fill the form)

REGISTRATION DETAILS OF MAIN APPLICANT

Full name of Applicant: Mr./Mrs./Ms.: _____
(As per CNIC/SNIC/NICOP/ARC/POC/Passport)

Father's / Husband's Name: _____ UKN No.: _____
(As per CNIC/SNIC/NICOP/ARC/POC/Passport)

Contact Details of Main Applicant: Permanent Address: _____
(Address should be different from Participant's Business address as per CNIC)

District*	Province/State*	Landmark (if any)	City/Town/Village*	Country*

Mailing Address: (Mandatory Evidence Required)

District*	Province/State*	Landmark (if any)	City/Town/Village*	Country*

Tel. (Res.)	<input type="text"/>	<input type="text"/>	Mobile:	<input type="text"/>
Tel. (Off.)	<input type="text"/>	<input type="text"/>	Fax:	<input type="text"/>
Email ID.:	<input type="text"/>			
CNIC No: <small>(For resident Pakistani)</small>	<input type="text"/>	Expiry date of CNIC:	<input type="text"/>	
NICOP No: <small>(For non-resident Pakistani)</small>	<input type="text"/>	Expiry date of NICOP:	<input type="text"/>	
Passport No.:	<input type="text"/>	Expiry Date Passport:	<input type="text"/>	
Place of Issue:	_____	Issue Date Passport:	<input type="text"/>	
Driving License No.:	<input type="text"/>	Expiry Driving License:	<input type="text"/>	

9. Details of Contact Person: [Note: Contact Person shall not be the person other than the Main Applicant, any one of the Joint Applicants or their Attorney. However, Attorney shall not be a Participant/TRE Certificate Holder or its Director or Representative. Where Contact Person is the Main Applicant or any of the Joint Applicants, please tick the appropriate box (a) below and use the contact details of such Contact Person as provided in the KYC Application Form for CDS. Where Contact Person is an Attorney, please provide details in (a) to (i) below]

Name: Mr./Mrs./Ms.: _____

Relationship/association of the Attorney with the Main Applicant: _____

Permanent Address: _____

CNIC No:	<input type="text"/>	Expiry date of CNIC:	<input type="text"/>	
Tel No:	<input type="text"/>	Fax: (optional):	<input type="text"/>	
Email ID.:	<input type="text"/>			

10. Share holder's Category: INDIVIDUAL CORPORATE

*Where the Contact Person is resident, local mobile number shall be provided for the purpose of subscription to SMS as a mandatory requirement. Where the Contact Person is a non-resident, email address shall be provided for eAlert/ eStatement from CDC as a mandatory requirement. In case the Contact Person is an Attorney, the Attorney shall receive such services. This information will also be used where any other service is subscribed under the CDC access.

I/We hereby apply for opening of my/our following account with AZEE Securities Pvt Limited.

Primary Service Provider
[Please tick the appropriate box]

- National Custodial Service [sub-account shall be opened and maintained with NCCPL]
 Direct Settlement Service [Investor Account shall be opened and maintained with CDC]
 AZEE Securities Pvt Limited, [Sub-account shall be opened and maintained with (AZEE Securities Pvt Limited.)]
 Trading Account [Opening of Account with a [AZEE Securities Pvt Limited for trading purpose only]

Note: In case applicant chooses option # 4 above, then he/she shall choose any of the following:

Other Service Provider
[Please tick the appropriate box]

- Securities broker (Trading & Self-Clearing)
 Subscribe to Direct Settlement Services (DSS) with CDC
 Subscribe to National Custodial Services (NCS) with NCCPL
 Others (please specify e.g CCM / NBCM)

CLIENT BANK DETAILS

Account Title: _____ Name Of The Bank: _____

IBAN A/C No:

Branch Name: _____ Branch Address: _____

Basis of Remittance
[Please tick the appropriate box]

- | | | |
|-----------------|--------------------------|--------------------------|
| | Repatriable | Non-Repatriable |
| Pakistan Origin | <input type="checkbox"/> | <input type="checkbox"/> |
| Foreigner | <input type="checkbox"/> | <input type="checkbox"/> |

where did you hear about AZEE Trade
[Please tick the appropriate box]

- Print/Television Advertisement
 Employee of AZEE
 Direct Marketing Campaign
 Friend/Colleague
 Other _____

ZAKAT STATUS

[If, according to the Fiqh of the Applicant(s), Zakat is not deductible, then relevant Declaration on prescribed format shall be submitted by all the Applicant(s) with the concerned Participant/TREC Holder]. Non-Muslims shall submit an affidavit.

[Please tick the appropriate box] Muslim Zakat deductible Muslim Zakat non-deductible Not Applicable

NOMINATION

Particulars of nominee (Optional but if desired, nomination should only be made in case of sole individual and not joint account)

[In case of death of IAS/Sub-Account Holder / Customer: Nomination may be made in terms of requirements of Section 79 of the Companies Act, 2017, which inter alia requires that person nominated as aforesaid shall not be a person other than the following relatives of the IAS/Sub-Account Holder, namely: a spouse, father, mother, brother, sister and son or daughter.]

Full Name of Nominee: _____ Father's/Husband's Name: _____
(As per CNIC/NICOP/Passport)

Relationship with Main Applicant:
[Please tick the appropriate box]

- Spouse Father Mother Brother
 Daughter* Sister Son* * Including step or adopted child

Contact Details of Nominee: Permanent Address: _____
(Address should be different from Participant's Business address)

Mailing Address: _____

Tel. (Res.):

Mobile:

Tel. (Off.):

Fax:

Email ID:

CNIC No:
(For resident Pakistan)

Expiry date of CNIC:

NICOP No:
(For non-resident Pakistan)

Expiry date of NICOP:

Passport No:

Expiry Date Passport:

Place of Issue: _____

Issue Date Passport:

F SIGNATURES

Application No:

Date of receipt:

I/we hereby agree to admit the Applicant(s) as the IAS/Sub-Account Holder(s) in terms of the enclosed Terms and Conditions as amended from time to time and shall abide by the same in respect of opening, maintenance and operation of such IAS/Sub-Account.:

[Insert Name of Applicant(s)]

Participant's Seal & Signature:



Authorized Signatures

Specimen Signatures

Main Applicant _____
Names of Signatory(ies)

Specimen Signatures

Name 1st Holder _____
Names of Signatory(ies)

Specimen Signatures

Name 2nd Holder _____
Names of Signatory(ies)

Specimen Signatures

Name 3rd Holder _____
Names of Signatory(ies)

WITNESSES:

1. Name: _____

2. Name: _____

CNIC No:

CNIC No:

Signature:

Signature:

ENCLOSURES*:

1. Copy of valid CNIC/SNIC/NICOP/ARC/POC/Passport of the Applicants / Joint Applicants / nominee(s) and Attorney (as the case may be).
 2. Attested Copy of Power of Attorney (if applicable), duly attested by notary public.
 3. Original of Zakat Declaration (as per the format specified) of the Applicant/and the Joint Applicant (if applicable). In case of Non-Muslim, an affidavit shall be submitted.
 4. Terms & Conditions of relevant service provider, as applicable.
 5. Tripartite Agreement of relevant service provider, as applicable.
 6. Proof of Income.
 7. Proof of Address.
 8. Copy of Cancelled Cheque Leaf.
 9. Proof of Mobile No. Ownership (PTA Approved)
Note: Non-resident/ foreigners shall submit the documents duly attested by either notary public or Consul General of Pakistan having jurisdiction over the Applicant(s).
- * Not applicable for opening Sahulat Accounts for individuals who wish to undergo simplified KYC. Such customers may keep custody of securities worth Rs.500,000 or less and shall not in a given day buy or sell securities worth more than Rs.500,000, i.e. gross trading in a day cannot exceed Rs.1 million while net trading may be Rs.500,000 or less.

INVESTMENT DETAILS

Funding Details

Type of Account DVP Account Margine Account ST
[Please tick the appropriate box] Delivery versus Payment Sharia

Amount Deposited _____
 Total Amount in PAK Rupees For Opening of Account

Deposited Item Cash Shares Cheque _____
 Cheque Payable to AZEE Securities (Pvt.) Ltd.
[Please tick the appropriate box]

MARGIN DEPOSIT

The Account Holder(s) hereby undertakes to deposit and maintain _____% margin against his/her/their outstanding trades /exposures for the purpose of trading in his/her/their account. The Broker shall notify the Account Holder(s) about any change in the above margin requirements for the already executed trades at least 3 days prior to the implementation of the revised margin requirements.

G ACCOUNTS WITH OTHER BROKERS

Name of Broker: _____

Member Exchange: _____

Client ID.:

Account No.:

Investor Account No. KHI LHR ISB HYD OTHER _____

[Please tick the appropriate box]

FOR THE USE OF PARTICIPANT/TREC HOLDER ONLY

Particulars of Customer Relationship Form verified by :

Date:

Application: Approved Rejected

(Authorized signatory)/Stamp

IAS/Sub-Account no. issued:

(Authorized signatory)/Stamp

IAS/Sub-Account /Trading Account opened by: _____

Saved by: _____ Posted by: _____

Date:

(Authorized signatory)/Stamp

Date:

(Authorized signatory)/Stamp

Remarks: (if any)

ACKNOWLEDGEMENT RECEIPT

Application No:

Date of receipt:

I/We hereby confirm and acknowledge the receipt of duly filled and signed Customer Relationship Form from the following Applicant:

[Insert Name of Applicant(s)]

Participant's Seal & Signature:



Authorized Signatures

Specimen Signatures

Main Applicant _____
Names of Signatory(ies)

Specimen Signatures

Name 2nd Holder _____
Names of Signatory(ies)

Specimen Signatures

Name 1st Holder _____
Names of Signatory(ies)

Specimen Signatures

Name 3rd Holder _____
Names of Signatory(ies)

IMPORTANT : [Please read and understand the Terms and Conditions before signing and executing this form.]
These Terms and Conditions shall constitute a Contract between the Parties hereto. This Contract shall govern opening, maintenance and operations of Trading Account, CDC Sub-Account(s) and sharing of UIN and KYC information to/from NCCPL and ancillary matters connected therewith.

GENERAL TERMS AND CONDITIONS

1. All Trades, Transactions, including non-Exchange Transactions, Derivative Contracts and deals (jointly referred to as "Transactions") between the Parties and Clearing and Settlement thereof and opening, maintenance and operations of Sub-Account in the CDS shall be subject to the Securities Act, 2015, Central Depositories Act, 1997, Pakistan Stock Exchange Limited (PSX) Regulations, Central Depository Company of Pakistan Limited (CDC) Regulations, CKO Regulations, 2017, National Clearing Company of Pakistan Limited (NCCPL) Regulations and the Securities Brokers (Licensing and Operations) Regulation, 2016 including Procedures, Manuals, Policies, Guidelines, Circulars, Directives, and Notifications issued and as amended) thereunder by the Securities and Exchange Commission of Pakistan (SECP), PSX, CDC or NCCPL from time to time. The AZEE shall ensure provision of copies of all the relevant laws, rules and regulations at his office for access to the Sub-Account Holder(s)/Customer(s) during working hours. The AZEE shall ensure that its website contains hyperlinks to the websites/pages on the website of the Exchange, CDC, NCCPL and the SECP displaying above said regulatory framework for reference of the Customers.
2. The information provided in KYC application form and/or CRF shall be in addition to and not in derogation of the requirements prescribed under AntiMoney Laundering and Countering Financing of Terrorism Regulations, 2018.
3. The AZEE Securities shall ensure provision of copies of all the relevant laws, rules and regulations at its office for access to the Sub-Account Holder(s)/Customer(s) during working hours. The AZEE Securities shall ensure that its website contains hyperlinks to the websites/pages on the website of PSX, CDC, NCCPL and the SECP displaying above said regulatory framework for reference of the Customers.
4. In case of a Joint Account, all obligations and liabilities of the Applicants under these Terms and Conditions shall be joint and several.
5. These Terms and Conditions shall be binding on the nominee, legal representative, successors in interest and/or permitted assigns of the respective Parties hereto.
6. The AZEE Securities shall provide a list of its Registered Offices and Representatives authorized and employees designated to deal with the Sub-Account Holder(s)/Customer(s) along with their authorized mobile/landline/fax number(s), email and registered addresses. Any change(s) therein shall be intimated in writing to the Sub-Account Holder(s)/Customer(s) with immediate effect.
7. Subject to applicable laws, the AZEE Securities shall maintain strict confidentiality of the Customer related information and shall not disclose the same to any third party. However, in case the SECP, PSX, CDC or any competent authority under the law, as the case may be, requires any such information, the AZEE Securities shall be obliged to disclose the same for which the Customer shall not raise any objection whatsoever.
8. The AZEE Securities shall independently verify any of the Customer's related information provided in this Form and under the relevant laws, rules and regulations for the purpose of KYC.
9. In case of any change in the Customer's related information provided in this Form, the Customer shall provide necessary details to the AZEE Securities Upon receipt of instruction from the Customer, the AZEE Securities shall give effect to such changes in the manner prescribed under the relevant regulations. The AZEE Securities shall have the right to incorporate any change(s) in the Sub-Account Holder(s)/Customer's information in the CDS as sent by NCCPL as CKO and that such change(s) shall be deemed to have been authorized by the SubAccount Holder(s)/Customer(s). In case of any change in the AZEE Securities address or contact numbers or any other related information, the AZEE Securities shall immediately notify the Sub-Account Holder(s)/Customer(s).
10. Any change in this Form or these Terms and Conditions by virtue of any changes in the aforesaid legal frameworks shall be deemed to have been incorporated and modified the rights and duties of the Parties hereto. Such change(s) shall be immediately communicated by the AZEE Securities to the Sub-Account Holder(s)/Customer(s).
11. The AZEE Securities and the Customer shall be entitled to terminate this Contract without giving any reasons to each other after giving notice in writing of not less than one month to the other Party. Notwithstanding any such termination, all rights, liabilities and obligations of the Parties arising out of or in respect of Transactions entered into prior to the termination of this Contract shall continue to subsist and vest in /be binding on the respective Parties or his /her/ its respective heirs, executors, administrators, legal representatives or successors in interest and permissible assigns, as the case may be. Closure of Sub-Account of the Customer under this clause shall be subject to the condition that neither any corporate action is pending at that point of time in connection with any Book-entry Securities in the Sub-Account nor any Book-Entry Securities are in Pledged Position and that the outstanding dues, if any, payable by any Party to the other Party is cleared and that the Customer has transferred or withdrawn all the Book-Entry Securities from his/her Sub-Account.
12. Where applicable, the terms "Sub-Account Holder" and "Participant" used in this Form shall include the "Customer" and "Securities Broker/TRE Certificate Holder" respectively.
13. The AZEE Securities should ensure due protection to the Sub-Account Holder / Customer regarding rights to dividend, rights or bonus shares etc. in respect of transactions routed through it and not do anything which is likely to harm the interest of the Sub-Account Holder with/ from whom it may have had transactions in securities.
14. The AZEE Securities shall ensure that duly filled in and signed copy of this form along with the acknowledgement receipt is provided to the Sub-Account Holder.

TERMS AND CONDITIONS FOR OPENING AND OPERATIONS OF CDC SUB-ACCOUNT

The Terms and Conditions set herein below shall govern the Sub-Account forming part of the Account Family of the CDS Participant Account of the Participant, which shall be binding on the Sub-Account Holder as well as the Participant:

1. The Registration Details and such other information specified by the Applicant in this form for opening of the Sub-Account shall appear in the Sub-Account to be established by the AZEE in the CDS who shall ensure the correctness and completeness of the same.
2. The Book-entry Securities owned by the Sub-Account Holder shall be exclusively entered in the Sub-Account of such Sub-Account Holder.
3. Transfer, Pledge and Withdrawal of Book-entry Securities entered in the Sub-Account of the Sub-Account Holder shall only be made from time to time in accordance with the authorization given by the Sub-Account Holder to the AZEE in Part (E) above pursuant to Section 12 and 24 of the Central Depositories Act, 1997. Such authorization shall constitute the congregated / entire authorizations by the Sub-Account Holder(s) in favour of the AZEE and supersedes and cancels all prior authorizations (oral, written or electronic) including any different, conflicting or additional terms which appear on any agreement or form the Sub-Account Holder(s) has executed in favour of the AZEE.
4. AZEE shall be liable to give due and timely effect to the instructions of the Sub-Account Holder given in terms of the above-referred authorization with respect to transfer, pledge and withdrawal of Book-entry Securities entered in his/her Sub-Account under the control of the AZEE. Such instructions, among other matters, may include closing of Sub-Account.
5. AZEE shall send within 10 days of end of each quarter Account Balance statement to the Sub-Account Holder without any fee or charge showing the number of every Book-entry Security entered in his/her Sub-Account as of the end of the preceding quarter. Such Account Balance statement shall be generated from the CDS. Further, the Sub-Account Holder may request for such statement (including Account Activity reports) from the AZEE at any time on payment of a fee on cost basis as prescribed by the Participant. The AZEE shall be liable to provide such report/statement to the Sub-Account Holder within 3 Business Days from the date of receipt of such request, with or without charges.
6. In consideration for the facilities and services provided to the Sub-Account Holder by the AZEE, the Sub-Account Holder shall pay fees and charges to the Participant as applicable for availing such facilities and services under the Central Depositories Act, 1997, the Regulations and these Terms & Conditions. In case of outstanding payment against any underlying market purchase transaction, charges and/or losses against the Sub-Account Holder, the AZEE shall have the right, subject to Clause 3 above and under prior intimation to the Sub-Account Holder to clear the payment, charges and/or losses (including any shortfall in margin requirements) within the reasonable time prescribed by the AZEE, to dispose off the necessary number of Book-entry Securities of the Sub-Account Holder through market-based or Negotiated Deal Market sell transaction and apply the net proceeds thereof towards the adjustment of such outstanding payment, charges and/or losses.
7. Where admission of AZEE to the CDS is suspended or terminated by the CDC, the Sub-Account Holder shall have the right, subject to the Regulations and the Procedures made thereunder, to request CDC to change his/her Controlling Account Holder and Participant shall extend full cooperation to the Sub-Account Holder in every regard, without prejudice to its right of recovery of any dues or receivable from the Sub-Account Holder.
8. The provision of services as provided for hereunder shall not constitute AZEE as trustee and the Participant shall have no trust or other obligation in respect of the Book-entry Securities except as agreed by the AZEE separately in writing.
9. The Participant is not acting under this application form as Investment Manager or Investment Advisor to the Sub-Account Holder(s).

TERMS AND CONDITIONS FOR TRADING ACCOUNT

In case any dispute in connection with the Transaction between the Securities Broker and the Customer is not settled amicably, either Party may refer the same to the Arbitration in accordance with the arbitration procedures prescribed in PSX Regulations. The decision of arbitrators shall be binding on both the Parties subject to their rights of appeal in the manner provided in PSX Regulations, if exercised. The name and other relevant particulars of the Customer shall be placed on PSX's website accessible to Securities Brokers if the Customer fails or refuses to abide by or carryout any arbitration award passed against him/her and the Customer shall have no objection to the same.

1. The assets deposited as margin by a Customer with the AZEE Securities shall only be used by the AZEE Securities for the purposes of dealing in securities through PSX on behalf of such Customer other than as authorized by the Customer in writing in the manner prescribed under the relevant regulations.
2. The AZEE Securities may deposit unutilized funds of the Customers in a separate profit-bearing bank account and shall distribute profit to the Customers out of total profit offered by bank(s) on such funds, unless specified otherwise in writing by the Customer.
3. The AZEE Securities shall be authorized to act on the instructions of the Customers given through any of the following modes of communication unless specifically designated by the Customer in the Form:
 - (a) Telephonic communication over a dedicated telephone line(s) routed through centralized call recording system;
 - (b) Email/SMS/Fax/Letter on the authorized email address/mobile/fax/address of the Securities Brokers;
 - (c) Verbal orders placed through personal appearance in the registered office subject to receipt of written acknowledgement of such in-person orders by Securities Brokers.
4. The AZEE Securities shall make out the Contract Note (physical or electronic form) to the Customers in respect of trades executed on their behalf based on their order instructions not later than the start of next trading day as required under the AZEE Securities (Licensing and Operations) Regulations, 2016 through any of the following acceptable modes of communication unless specifically designated by the Customer in the Form:
 - (a) Recognized courier service;
 - (b) Registered Post at given correspondence address;
 - (c) Facsimile number provided on the Form;
 - (d) By hand subject to receipt/acknowledgement; or
 - (e) Email provided on the Form in case of Electronic Contract Note.All such transactions recorded by the AZEE Securities in the prescribed manner shall be conclusive and binding upon the Customer unless the Customer raises observation relating to unauthorized execution of such transaction or any error in the Contract Note within one trading day of the receipt of such Contract Note.

In the event of any dispute relating to order placement or executing of orders, the burden of proof shall be on the Securities Brokers to establish the authenticity of such order placement or execution thereof.
5. In case the Customer fails to deposit additional margins within one trading day of the margin call (in writing), the AZEE Securities shall have absolute discretion to liquidate the Customer's outstanding positions including the securities purchased and carried in such account to meet the margin shortfall without further notice to the Customer.
6. The AZEE Securities shall be responsible for the payment of any credit cash balance available in the account of the Customer through cross cheques or other banking channels (instruments) only within one (1) trading day of the request of the Customer subject to the maintenance of the margin requirements.
7. The Customer is aware that in the event of his/her non-payment on settlement day against securities bought on his/her account, the AZEE Securities may transfer such securities to its Collateral Account under intimation to PSX in the manner as provided in PSX Regulations.
8. The AZEE Securities shall accept from the Customer payments through "A/c Payee Only" crossed cheque, bank drafts, pay orders or other banking channels drawn on Customer's own bank account in case of amounts in excess of Rs. 25,000/-. Electronic transfer of funds to the AZEE Securities through banks would be regarded as good as cheque. The AZEE Securities shall provide the receipt to the Customer(s) in the name of the Customers duly signed by its authorized employee and the Customer(s) shall be responsible to obtain the receipt thereof. In case of cash dealings, proper receipt will be taken and given to the Customer(s), specifically mentioning if payment is for margin or the purchase of securities. The AZEE Securities shall immediately deposit in its bank account all cash received in whole i.e. no payments shall be made from the cash received from clients. However, in exceptional circumstances, where it becomes necessary for Securities Broker to accept cash in excess of Rs.25,000/-, the AZEE Securities shall immediately report within one trading day such instances with rationale thereof to the PSX in accordance with the mechanism prescribed by PSX.
9. The AZEE Securities shall make all payments to the Customers through crossed cheques / bank drafts / pay orders or any other banking channels showing payment of amount from their business bank account. Copies of these payment instruments including cheques, pay orders, demand drafts and online instructions shall be kept in record for a minimum period prescribed under the AZEE Securities (Licensing and Operations) Regulations, 2016.
10. The AZEE Securities shall provide to the Customers a quarterly Account Statement which shall include cash and securities ledgers as back office and CDC Sub-Account records along with reconciliation of any differences therein through any of the aforesaid modes of communication. In case of any discrepancy in the ledger statement, the Customer shall inform the Securities Broker within seven (7) days of receipt of the quarterly account statement to remove such discrepancy. Further, the Securities Broker shall provide to a Customer an Account Statement for a period specified by the Customer as and when requested by such Customer.
11. The Customer shall pay all applicable taxes and statutory and regulatory fee and levies and brokerage commissions as are prevailing from time to time in connection with the brokerage services rendered. The AZEE Securities can debit up to the accrued amount of levies and charges the account of the Customers for the abovementioned charges, which shall be clearly detailed in the ledger statement/daily confirmations. Any change resulting in an increase in the brokerage commission shall take effect not earlier than five (5) trading days of intimation of the same to the Customers through acceptable mode of communication prescribed in the Form.
12. The AZEE Securities shall append a Risk Disclosure Document with this Form in accordance with the specimen provided by PSX same for which the Customer shall not raise any objection whatsoever.

I DECLARATION & UNDERTAKING

I/We, the undersigned Applicant(s), hereby declare/undertake that:

- a) I/We am/are not minor(s);
- b) I/We am/are of sound mind;
- c) I/We have not applied to be adjudicated as an insolvent and that I/We have not suspended payment to any financial institution and that I/We have not compounded with my/our creditors;
- d) I/We am/are not an undischarged insolvent;
- e) I/We confirm having read and understood the above Terms and Conditions and I/We hereby unconditionally and irrevocably agree and undertake to be bound by and to comply with the above Terms and Conditions and any other terms and conditions which may be notified from time to time with the approval of the concerned authorities modifying or substituting all or any of the above Terms and Conditions in connection with the opening, maintenance and operation of the Sub-Account and Trading Account;
- f) The information furnished in this form is complete, valid, true and correct to the best of my/our knowledge and I/We shall inform the AZEE immediately in writing of any change therein;
- g) In case any of the above information is found to be false or misleading or suspension of any material fact, will render my/our Sub-Account/Trading Account liable for termination and I/We shall be subject to further action under the law;
- h) All the documents filed/submitted by me/us for the purpose of this application are genuine and valid, bearing genuine signatures and stamps of duly authorized individuals/representatives and are in accordance with the applicable law; and
- i) I/We hereby now apply for opening, maintaining, operation of IAS/Sub-Account and Trading Account with the AZEE.

DISCLAIMER FOR CDC ACCESS SERVICES

The main objective of providing information, reports and account maintenance services through the Interactive Voice Response System, Internet /Web access and Short Messaging Service ("SMS") or any other value added service is to facilitate the IAS/Sub-Account Holders ("Users") with a more modern way to access their information. CDC makes no other warranty of the IVR, Internet /Web access, SMS or any other value added services and Users hereby unconditionally agree that they shall make use of the internet/web access subject to all hazards and circumstances as exist with the use of the internet. CDC shall not be liable to any Users for providing and making available such services and for failure or delay in the provision of SMS to Users and all Users, who use the IVR, internet access, SMS or any other value added services, shall be deemed to have indemnified CDC, its directors, officers and employees for the time being in office and held them harmless from and against any losses, damages, costs and expenses incurred or suffered by them as a consequence of use of the IVR system, internet/web access, SMS or any other value added services.

All Users hereby warrant and agree that their access of the internet /web by the use of a User-ID and login is an advanced electronic signature and upon issuance of such User-ID to the user, they hereby waive any right to raise any objection to the compliance of the User-ID and login with the criteria of an advance electronic signature.

All Users shall by signing this Form and by their conduct of accessing the IVR, internet/Web access, SMS or any other value added services agree to all the terms and conditions and terms of use as shall appear on the CDC website at which shall be deemed to have been read and agreed to by the Users before signing this form.

<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Main Applicant	Joint Applicant 1	Joint Applicant 2	Joint Applicant 3	AZEE Securities Pvt Ltd.

Make the reality better than the dreams

Powered by AZEE Securities

Empowers you to make a smart move for your money.

You money should not only work as hard as you've worked to earn it, but be smart too for you to live a life better than your dreams.

For any assistance regarding account opening
Email us at info@azeetrade.com

or Call us at

111-AZE-AZE

111-293-293

We also encourage you visit our website
www.azeetrade.com